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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

oplicant: Margarita Ortiz-Marciales, *et al.* 

Group Art Unit: Unkown

Application No.: 10/775,011

Examiner: Unknown

Filed: February 9, 2004

**CERTIFICATE OF MAILING** 

For: EFFICIENT AND CONVENIENT

PROCEDURE FOR THE SYNTHESIS )

OF B-ALKYLATED

OXAZABOROLIDINES DERIVED

FROM EPHEDRINE AND

**NOREPHEDRINE** 

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached correspondence including:

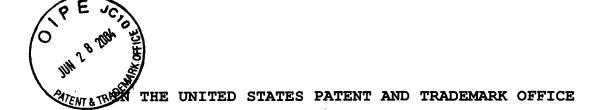
- Supplemental Information Disclosure Statement by Applicant
- Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97(b)
- Declaration and Power of Attorney

is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to:

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

June 21, 2004

Elena M. Jimenez



Applicant: Margarita Ortiz-

Marciales, et al.

Application No.: 10/775,011

Filed: February 9, 2004

For: EFFICIENT AND CONVENIENT

PROCEDURE FOR THE SYNTHESIS OF B-ALKYLATED OXAZABOROLIDINES DERIVED FROM EPHEDRINE AND

NOREPHEDRINE

Group Art Unit: Unknown

Examiner: Unknown

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §

1.97(b)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

## Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached form. This Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an

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admission that each or all of the listed documents are material or constitute "prior art". If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 501,452.

Respectfully submitted,

June 21, 2004

By: Heath W. Hoglund

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## JUN 2 8 2004 SUPPLEMENTAL INFORMATION Complete if Known Application Number 10/775,011 Filing Date 2-9-04 **DISCLOSURE STATEMENT BY** First Named Inventor Ortiz-Marciales **APPLICANT** Group Art Unit Unknown **Examiner Name** Unknown Attorney Docket Number UPR-3100 Sheet of 1

	_	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTER), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page (s), volume-issue number(s), publisher, city and/or country where published.
	AA	AKIRA HIRAO, SHINICHI ITSUNO, SEIICHI NAKAHAMA, NOBORU YAMAZAKI; Asymmetric Reduction of Aromatic Ketones with Chiral Alkoxy-amine-borane Complexes; J.C.S. Chem. Comm; 1981; pp. 315-317; US
	АВ	JEAN-PAUL G. SEERDEN, MIKE M.M. BOEREN, HANS W. SCHEEREN; 1,3-Dipolar Cycloaddition Reactions of Nitrones with Alkyl Vinyl Ethers Catalyzed by Chiral Oxazaborlidines; Tetrahedron, Vol 53; 1997; pp. 11843-11852; US
	AC	GEORGE J. QUALLICH, JAMES F. BLAKE, TERESA M. WOODALL; Diphenyloxazaborolidine for Enantioselective Reduction of Ketones; American Chemical; 1996; pp. 112-126; US
	AD	BYUNG TAE CHO, MI HAE RYU; Asymmetric Borane Reduction of Ketoxime O-Trimethylsilyl Ethers Mediated by a Chiral 1,3,2-Oxazaborolidine Derived from (-),Ephedrine; Bull. Korean Chem. Soc.; 1994; pp. 191-192; US
	AE	JOHN M. BROWN, GUY C. LLOYD-JONES; Vinylborane Formation in Rhodium-catalysed Hydroborations; Ligand-free Homogeneous Catalysis; J. Chem. Soc., Chem. Commun.; 1992; pp. ; US
	AF	BYUNG TAE CHO, YU SUNG CHUN; Catalytic Enantioselective Reactions. Part 10. Enantioselective Addition of Diethylzinc to Aldhydes Catalyzed by Chiral Oxazaborolidenes; Bull. Korean Chem. Soc.; 1996; pp. 1096-1098; US
	AG	
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Examiner Signature		Date Considered